

PC23575A  
Supplemental IDS Statement for Appln. No.  
10/658,801

Certificate of Mailing (37 C.F.R. §1.10):

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (EV 615410215 US) in an envelope addressed to: Mail Stop: AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 6th day of July 2009.

s/

Christina M. Compelube



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:  
Paolo Gatti

Serial No.: 10/658,801

Confirmation No.: 1817

Filed: September 10, 2003

For: FORMULATIONS COMPRISING A  
BASIC INDOLINONE COMPOUND

Group Art Unit: 1616

Examiner: Nathan W. Schlientz

Attorney Docket No.: PC23575A

Mail Stop AMENDMENT  
Honorable Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

07/08/2009 CNGUYEN2 00000092 10658801

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**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**

**37 CFR § 1.97(b)**

- ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

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- ☐ Applicant requests that the Examiner consider the following copending applications:


Application Serial No.	Filing Date

- ☐ Copies of these copending applications are enclosed.
- ☒ Applicant hereby requests consideration of the Information Disclosure Statement, PTO/SB/08, submitted herewith. Copies of the cited references, except as noted below, are enclosed.
- ☐ This application is a continuation, divisional or continuation-in-part of Serial No. . Copies of the cited references, if not enclosed, are available in the file of the parent application or parents thereof.
- ☐ Copies of U.S. Patents and U.S. Patent Application Publications are not enclosed. (waiver of 37 CFR 1.98(a)(2)(iii) pursuant to 37 CFR 1.183).
- ☐ Applicant hereby requests consideration of the enclosed International Search Report, which was received in a related international patent application.
- ☒ Applicant hereby requests consideration of the enclosed Notice of Opposition, which was filed against the corresponding European patent.

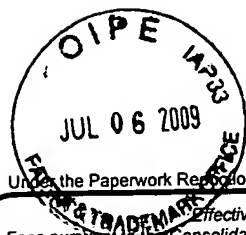
The Commissioner is hereby authorized to charge any fee deficiency, including any fee required under 37 C.F.R. § 1.17(p), or credit any overpayment, to Deposit Account Number 16-1445. A duplicate copy of this form is enclosed.

Respectfully submitted,

Date: July 6, 2009

  
\_\_\_\_\_  
Stephen D. Prodnuk  
Attorney For Applicant  
Registration No. 43,020

Pfizer Inc.  
Legal Division – Intellectual Property  
10555 Science Center Drive  
San Diego, California 92121  
Phone: (858) 622-3087  
Fax: (858) 678-8233



PTO/SB/17 (10-08)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2009**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

**Complete if Known**

Application Number	10/658,801
Filing Date	September 10, 2003
First Named Inventor	Paolo Gatti
Examiner Name	Nathan W. Schlientz
Art Unit	1616
Attorney Docket No.	PC23575A

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 161445 Deposit Account Name: Pfizer Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Small Entity	
Fee (\$)	Fee (\$)
52	26
220	110
390	195
Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Fee Code 1806 - Submission of an IDS

Fees Paid (\$)

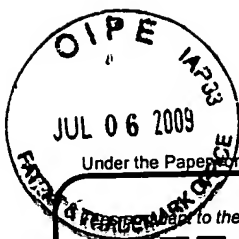
\$180.00

**SUBMITTED BY**

Signature	<u>SD</u>	Registration No. (Attorney/Agent)	43,020	Telephone	858.622.3087
Name (Print/Type)	Stephen D. Prodruk, Ph.D.	Date	July 6, 2009		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



DUPLICATE

PTO/SB/17 (10-08)

Approved for use through 06/30/2010. OMB 0651-0032

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For FY 2009☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

**Complete if Known**

Application Number	10/658,801
Filing Date	September 10, 2003
First Named Inventor	Paolo Gatti
Examiner Name	Nathan W. Schlientz
Art Unit	1616
Attorney Docket No.	PC23575A

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 161445 Deposit Account Name: Pfizer Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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Fees Paid (\$)

\$180.00

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Signature	<u>SD</u>	Registration No. (Attorney/Agent)	43,020	Telephone	858.622.3087
Name (Print/Type)	Stephen D. Prodnuk, Ph.D.			Date	July 6, 2009

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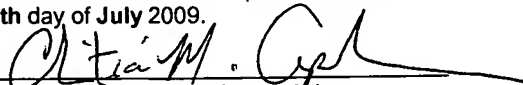
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PC23575A  
Transmittal Letter for Supplemental IDS  
Statement for Appln. No. 10/658,801



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sl   
Christina M. Compejube

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Serial No.: 10/658,801

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For: **FORMULATIONS COMPRISING A  
BASIC INDOLINONE COMPOUND**

Group Art Unit: 1616

Examiner: Nathan W. Schlientz

Attorney Docket No.: PC23575A

Mail Stop AMENDMENT  
Honorable Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**TRANSMITTAL LETTER**

Transmitted herewith are the following documents:

- |    |   |                      |
|----|---|----------------------|
| 1. | Supplemental Information Disclosure Statement | 2 Pages + Duplicate; |
| 2. | Notice of Opposition                          | 20 Pages;            |
| 3. | Form PTO.SB/08A                               | 1 Pages;             |
| 4. | Copies of Cited Art                           | 7 References;        |
| 5. | Fee Transmittal                               | 1 Page + Duplicate;  |
| 6. | Postcard                                      | 1 Postcard; and      |
| 7. | Total Fee Due                                 | Deposit Account.     |

Respectfully submitted,

Date: July 6, 2009

  
\_\_\_\_\_  
Stephen D. Prodnuk  
Attorney For Applicant  
Registration No. 43,020

Pfizer Inc.  
Legal Division – Intellectual Property  
10555 Science Center Drive  
San Diego, California 92121  
Phone: (858) 622-3087  
Fax: (858) 678-8233

PC23575A

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**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**

**37 CFR § 1.97(b)**

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Stephen D. Prodnuk  
Attorney For Applicant  
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Legal Division – Intellectual Property  
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San Diego, California 92121  
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